

What are Stocks and Bonds?

Ordinary or Common Stocks:

A Common Stock is a security representing a unit of ownership in a company. It gives the stockholder (shareholder) the right to vote at the company's General Meetings and a proportionate claim on any dividends declared, after preference shareholders. As owners of the company, common shareholders assume the primary risk if business is poor, realize greater returns in the event of success and elect the Board of Directors that controls the company.

Preferred Stock:

Is a security representing an ownership interest in a company. Preferred stockholders enjoy priority over the common stockholders with respect to the payment of dividends and the distribution of assets in the event of dissolution of the company. Preferred stockholders generally do not have a right to vote at the company's General Meetings.

Bonds:

Bonds are debt instruments issued by a government or company which represent a fixed sum of money that was borrowed (principal). The issuer (borrower) promises to pay the holder (lender) a specified amount of interest (usually stated as a percentage) over a specified period of time, and to repay the principal at maturity.

The difference between Stocks and Bonds

- A share represents ownership. A stockholder is part-owner of the company.
- Bonds represent long-term debt. A bondholder is a creditor who has a claim against the company in the event of dissolution of the company equal to the value of the bond.

THE TRINIDAD AND TOBAGO STOCK EXCHANGE LIMITED

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Stockbrokers and Traders

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CARIBBEAN STOCKBROKERS LIMITED

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FIRST CITIZENS BROKERAGE & ADVISORY SERVICES LIMITED

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Affording Opportunities, Creating Possibilities

What is the Trinidad and Tobago Stock Exchange?

Learn about investing **NOW!**

It's your PARTNER for the future!

Trading Days: Monday – Friday
Trading Time: 9:30am – 12:00pm
3 Days Settlement (T+3)

What is the Trinidad and Tobago Stock Exchange Ltd (TTSE)?

The TTSE is the nation's centralized market place for buying and selling stocks or shares and other securities. In addition to increasing the investment options available to individuals, it also provides a mechanism through which companies can raise capital for expansion purposes by issuing stocks and bonds.

Extending your Investment Range

It's easy. The shares are issued by the company, listed on the TTSE and are made available to the investing public. There is no minimum number of shares that an investor may purchase on the TTSE.

Buying and Selling

Only a stockbroker registered with the Trinidad & Tobago Securities and Exchange Commission and licensed by the TTSE can buy and sell shares on your behalf. The stockbroker can recommend and advise according to your investment needs.

Before you start buying or selling you must open a Trinidad and Tobago Central Depository Limited (TTCD) account with your stockbroker.

If you are a current shareholder with physical stock certificates desirous of selling, simply present your stock certificates to your stockbroker to have them deposited in the TTCD.

Payment for shares purchased should be made on or before settlement date whereas payment for shares sold will be received after settlement date. The settlement date is three business days after the trade date, the date on which the purchase or sale was made on your behalf.

After the broker has purchased or sold shares on your behalf, you will receive a contract note which is a legal document that specifies the volume and value of shares bought or sold, the transaction price, the TTSE transaction charge and the commission payable to the broker. In the case of a purchase, the contract note is your proof of legal ownership until the settlement date.

Listed Companies

Presently, there are 39 securities listed on the TTSE and these companies are classified into the following markets and sectors:

***FIRST TIER MARKET**

BANKING SECTOR

FirstCaribbean International Bank Limited (FCI)
First Citizens Bank Limited (FIRST)
NCB Financial Group Limited (NCBFG)
Republic Financial Holdings Limited (RFHL)
Scotiabank Trinidad and Tobago Limited (SBTT)

CONGLOMERATES SECTOR

ANSA Mc Al Limited (AMCL)
GraceKennedy Limited (GKC)
Massy Holdings Ltd. (MASSY)

PROPERTY SECTOR

Point Lisas Industrial Port Development Corporation Limited (PLD)

MANUFACTURING I SECTOR

Angostura Holdings Limited (AHL)
One Caribbean Media Limited (OCM)
National Flour Mills Limited (NFM)
Guardian Media Limited (Ordinary) (GML)
Unilever Caribbean Limited (UCL)
The West Indian Tobacco Company Limited (WCO)

MANUFACTURING II SECTOR

Berger Paints Limited (BER)
Readymix (West Indies) Limited (RML)
Trinidad Cement Limited (TCL)

TRADING SECTOR

Agostini's Limited (AGL)
Prestige Holdings Limited (PHL)
LJ Williams Limited Ordinary "B" (LJWB)

ENERGY SECTOR

Trinidad and Tobago NGL Limited (NGL)

NON - BANKING FINANCE SECTOR

ANSA Merchant Bank Limited (AMBL)
Guardian Holdings Limited (GHL)
JMMB Group Limited (JMMBGL)
National Enterprises Limited (NEL)
Sagicor Financial Corporation Limited (SFC)

Listed Companies

Scotia Investments Jamaica Limited (SIJL)

NON - SECTOR COMPANIES

Guardian Media Limited \$50.00 6% Cumulative Preference (GMLP)
LJ Williams Limited Ordinary "A" (LJWA)
LJ Williams Limited Cumulative Preference (LJWP)

***SECOND TIER MARKET**

Mora Ven Holdings Limited (MOV)

MUTUAL FUND MARKET

Calypto Macro Index Fund (CALYP)
CLICO Investment Fund (CIF)
Fortress Caribbean Property Fund Limited SCC Development Fund (CPFD)
Fortress Caribbean Property Fund Limited SCC Value Fund (CPFV)
Praetorian Property Mutual Fund (PPMF)

USD EQUITIES MARKET

Sagicor Financial Corporation Preference (SFCP)

USD MUTUAL FUND MARKET

Bourse Brazil Latin Fund (BBLF)

*The First Tier Market is the main and most active market on the TTSE. The larger companies by market capitalization are listed on this market. Companies with relatively small market capitalizations are listed on the Second Tier Market.



*Where will you be without The Stock Exchange
when your dollar has no sense. It's the ultimate
umbrella for the future.*
