

FORM NO. 3

(By-law 14)

APPLICATION FOR REGISTRATION AS A SECURITIES COMPANY

(Pursuant to section 60(1)(a) of the Securities Industry Act, 1995)

To the Trinidad and Tobago Securities and Exchange Commission

In accordance with section 60(1)(a) of the Securities Industry Act, 1995. we hereby apply for the registration of Limited as a securities company

We attach to this application.

(i) a certified copy of the Memorandum and Articles of Association of the Company together with a certified copy of its certificate of incorporation;

(ii) proof that prior to commencing trading on the securities exchange the Company will have a minimum paid up share capital ofdollars.

We are aware of the requirements related to securities companies under the Securities Industry Act, 1995 and the By-Laws made thereunder and, provided approval is

granted to this application, we give a joint and several understanding that, the Company

will be operated in accordance with them.

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We are the Directors of the Company and we hereby undertake to assume liability for

the debts and obligations of the Company in terms of and within limitations expressed in

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Yours faithfully,

Signed

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Dated